



ATTORNEY DOCKET NO. 05126.0001U2mm

PATENT 2-122

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)

Exall et al.)

Serial No.: 09/929,859)

Filed: August 13, 2001)

For: "SYSTEMS AND METHODS FOR)
EMPLOYMENT LAW COMPLIANCE,)
ESTABLISHMENT, EVALUATION)
AND REVIEW")

Group Art Unit: 2161

Examiner: Unassigned

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.
Suite 1200, The Candler Building
127 Peachtree Street, N.E.
Atlanta, Georgia 30303-1811

November 15, 2001

Sir:

Submitted herewith on modified form PTO 1449 is a listing of documents known to applicants and/or their attorneys pursuant to the requirements of 37 C.F.R. § 1.56. Copies of these documents are enclosed.

Consideration of the cited documents and making the same of record in the prosecution of the above-noted application are respectfully requested.

Applicants believe that this Information Disclosure Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(3), i.e., before the mailing date of the first Office Action on the merits pertaining to the above-referenced application. Therefore, no fee is believed to be due. However, the Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment, to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.



David S. Kerven, Ph.D.
Registration No. 43,712

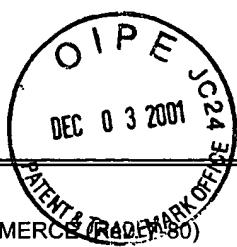
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date listed below.


David S. Kerven, Ph.D.

15 Nov 2001
Date



Modified Form PTO-1449
U.S. DEPARTMENT OF COMMERCE (PTO-80)
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.: 05126.0001U2

SERIAL NO. 09/929,859

APPLICANT: Exall et al.

LIST OF DOCUMENTS CITED BY APPLICANT
(Us s veral she ts if n c ssary)

FILING DATE: August 13, 2001

GROUP: 2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	6,157,808	12/05/00	Hollingsworth			
	A2	6,122,622	09/19/00	Wiitala et al.			
	A3	6,119,097	09/12/00	Ibarra			
	A4	6,112,188	08/29/00	Hartnett			
	A5	5,884,275	03/16/99	Peterson et al.			
	A6	5,745,755	04/28/98	Covey			
	A7	5,623,403	04/22/97	Highbloom			
	A8	5,489,213	02/06/96	Makipaa			
	A9	5,224,173	06/29/93	Kuhns et al.			

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A10	Heckman and Roetter, "Designing Government Agents for Constitutional Compliance," <i>Proceedings of the Third Annual Conference on Autonomous Agents</i> , pp. 299-305, May 1-5, 1999, Seattle, WA USA
	A11	Johnson and Mead, "Legislative Knowledge Base Systems for Public Administration: Some Practical Issues," <i>Proceedings of the Third International Conference on Artificial Intelligence & Law</i> , pp. 108-117, June 25-28, 1991, Oxford, United Kingdom
	A12	King and Stanley, "Ensuring Court Admissibility of Computer-Generated Records" <i>ACM Transactions on Information Sytems</i> , Vol. 3, Issue 4, pp. 398-412 (October, 1985)
	A13	Matthijssen, "An Intelligent Interface for Legal Databases," <i>Proceedings of the Fifth International Conference on Artificial Intelligence and Law</i> , pp. 71-80, May 21-24, 1995, College Park, MD, USA
	A14	Morris, et al. "Handling of Significant Deviations from Boilerplate Text," <i>Proceedings of the First International Conference on Artificial Intelligence and Law</i> , pp. 145-154, May 27-29, 1987, Boston, MA USA

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.